Docket: A-68677/MSS (464334-95)

MAR 2 8 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Te TRAME entor:

Jung-Chih Chia

Application No.:

10/009,985

Filing Date:

December 11, 2001

Title:

MEMS OPTICAL COMPONENTS

Examiner:

To be assigned

Group Art Unit:

To be assigned

TRANSMITTAL LETTER

Commissioner for Patents Washington, D.C. 20231

I hereby certify that this document is being sent via First Class U.S. mail addressed to: Commissioner for Patents, Washington, D.C. 20231 on 25 this day of March, 2003.

Kari Bateman

(Signature)

Dear Sir:

The following documents are enclosed in connection with the above-referenced patents application:

- 1. Informational Disclosure Statement;
- 2. Form PTO/SB/8A;
- 3. Copies of seven (7) references listed on the PTO/SB/08A form and the two PCT Notifications of Transmittal of the International Search Reports as received in connection with priority applications;
- 4. This Information Disclosure Statement is filed under 37 C.F.R. 1.97(b)(3); Accordingly, no fee is required.
- 5. Return Receipt Postcard;
- 6. The Commissioner is hereby authorized to charge any underpayment of the fees to Deposit Account No. 50-2319 (A-68677/MSS (464334-95)).

Respectfully submitted,

DORSEY & WHITNEY LLP

Date: March 25, 2003

By:

Maria S. Swiatek (Reg. No. 37,244)

Four Embarcadero Center, Suite 3400 San Francisco, CA 94111-4187 Telephone (650) 494-8700 Facsimile (650) 494-8771



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of:

Jung-Chih Chiao

Application No.

10/009,985

Filed:

December 11, 2001

For:

MEMS OPTICAL COMPONENTS

Examiner:

Art Unit:

o be assigned

To be assigned

The 25, 2003

Date:

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

This Information Disclosure Statement is hereby submitted in accordance with 37 CFR 1.98 and pursuant to Applicant's continuing duty under 37 CFR 1.56 to bring any information which may be material to patentability of this application to the Examiner's attention.

Applicant makes no representation that a complete search has been conducted by the Applicant, or that there is not possibly more relevant art. Applicant also makes no representation that the information submitted herewith is in fact material.

The subject application is believed patentable over any of the references listed on the attached PTO 08A form. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits in accordance with 37 C.F.R. §1.97(b)(3); accordingly, no fees are required.

Respectfully submitted,

DORSEY & WHITNEY LLP

Date: March 25, 2003

Maria S. Swiatek Reg. No. 37,244

Four Embarcadero Center, Suite 3400 San Francisco, CA 94111-4187 Telephone: 650-494-8700 Facsimile: 650-494-8771

1054473